

STATE OF WASHINGTON
OFFICE OF INSURANCE COMMISSIONER
CONTINUING EDUCATION PROVIDER APPLICATION

Provider Name: _____

Mailing Address: _____

Physical Address: _____

Phone Number: _____ Fax Number: _____

E-mail address: _____ Contact person: _____

Does your organization operate under name(s) which are not shown above? YES ____ NO ____

If YES, enter those name(s): _____

Please read the following very carefully and answer every question.

1. Has the business entity or any owner, partner, officer or director ever been convicted of, or is the business entity or any owner, partner, officer or director currently charged with committing a crime, whether or not adjudication was withheld?

Yes ____ No ____

"Crime" includes a misdemeanor, felony or a military offense. You may exclude misdemeanor traffic citations and misdemeanor juvenile offenses. "Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere, or having been given probation, a suspended sentence or a fine.

If you answer yes, you must attach to this application:

- a) a written statement explaining the circumstances of each incident,
- b) a photocopy of the certified charging document, and
- c) a photocopy of the certified official document which demonstrates the resolution of the charges or any final judgment

2. Has the business entity or any owner, partner, officer or director ever been involved in an administrative proceeding regarding any professional or occupational license?

Yes ____ No ____

"Involved" means having a license censured, suspended, revoked, canceled, terminated or being assessed a fine, placed on probation or surrendering a license to resolve an administrative action. "Involved" also means being named as a party to an administrative or arbitration proceeding which is related to a professional or occupational license. "Involved" also means having a license application denied or the act of withdrawing an application to avoid a denial.

If you answer yes, you must attach to this application:

- a) a written statement identifying the type of license and explaining the circumstances of each incident,
- b) a photocopy of the certified Notice of Hearing or other document that states the charges and allegations, and
- c) a photocopy of the certified official document which demonstrates the resolution of the charges or any final judgment.

3. Is the business entity or any owner, partner, officer or director a party to, or ever been found liable in any lawsuit or arbitration proceeding involving allegations of fraud, misappropriation or conversion of funds, misrepresentation or breach of fiduciary duty?

Yes ____ No ____

If you answer yes, you must attach to this application:

- a) a written statement identifying the type of license and explaining the circumstances of each incident,
- b) a photocopy of the certified Notice of Hearing or other document that states the charges and allegations, and
- c) a photocopy of the certified official document which demonstrates the resolution of the charges or any final judgment

I do hereby certify by affixing my signature below, that the information I have presented on this Application, is complete, true, accurate and complies with Chapter 284-17 WAC.

Person Responsible Signature: _____ Date: _____